Sheet 1 of 7 sheet(s) U.S. Department of Commerce, Patent and Trademark Office Docket No. Serial No. (PTO Form 1449 modified) MRKS/0028.C1 10/801,289 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant Confirmation No.: AUG 3 0 2004 **Bernd-Georg Pietras** 3066 (Use several sheets if necessary) Filing Date Group Examiner March 16, 2004 3679 **U.S. Patent Documents** \*Examiner **Document** Applicant(s) Issue Class Subclass Filing Date If Initial Number Date Name **Appropriate** 294 **A1** 6,431,626 03-13-2002 **Bouligny** 86.25 Allen et al. **A2** 6,412,554 07-02-2002 166 80.1 **A3** 81 6,360,633 03-26-2002 **Pietras** 57.3**/** 166 77.**5**3 **A4** 6.349.764 02-26-2002 Adams et al. **A5** 6,311,792 175 16/2 11-06-2001 Scott et al. 294 86.25 **A6** 6,309,002 10-30-2001 **Bouliany A7 5**5 6.199.641 03-13-2001 Downie et al. 175 **A8** 6,070,500 06-06-2000 Dlask et al. 81 57.33 **A9** 6,056,060 05-02-2000 Abrahamsen et 166 088 al. A10 6,000,472 12-14-1999 Albright et al. 166 **38b** A11 5,971,079 10-26-1999 166 387 Mullins Castille et al. A12 5,909,768 06-08-1999 166 77.1 5,836,395 (66 A13 11-17-1998 Budde 321 **Foreign Patent Documents** \*Examiner Document Date Country Class Subclass Translation Initial Number **YES** NO E218 **B1** GB 2 357 530 A 06-27-2001 U.K. 19/07  $\boxtimes$ П WO 00/39430 **B2** 07-06-2000 **WIPO E21B** 19/16 П  $\boxtimes$ **B3** WO 00/39429 07-06-2000 **WIPO E**21B 19/16  $\boxtimes$ OTHER ART \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial C<sub>1</sub> LAFLEUR PETROLEUM SERVICES, INC., "Autoseal Circulating Head," Engineering Manufacturing, 1992, 11 Pages C2 Valves Wellhead Equipment Safety Systems, W-K-M Division, ACF Industries, Catalog 80. 1980, 5 Pages Examiner Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office Docket No. Serial No. (PTO Form 1449 modified) MRKS/0028.C1 10/801,289 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant Confirmation No.: **Bernd-Georg Pietras** 3066 (Use several sheets if necessary) Filing Date Group Examiner 3679 March 16, 2004 **U.S. Patent Documents** \*Examiner Document Applicant(s) Issue Class **Subclass** Filing Date If Initial Number Date Name Appropriate John A14 5.803.191 09-08-1998 Mackintosh 175 170 A15 5,791,410 08-11-1998 Castille et al. 166 77.1 A16 5.735.348 04-07-1998 Hawkins, III 168 285 A17 5,645,131 Trevisani 175 07-08-1997 171 A18 5,584,343 12-17-1996 Coone 166 387 A19 5.577.566 11-26-1996 Albright et al. 175 32/1 5,553,672 A20 09-10-1996 Smith, Jr. et al. 166 3/82 A21 5,503,234 04-02-1996 Clanton 175 **52** . A22 5,501,286 03-26-1996 Berry · 175 52 A23 5,433,279 07-18-1995 Tessari et al. 173 213 A24 5,351,767 175 10-04-1994 Stogner et al. 162 A25 5,297,833 03-29-1994 Willis et al. 294 102.2 A26 5,255,751 10-26-1993 Stogner 1/75 203 **Foreign Patent Documents** \*Examiner Document Date Country Class Subclass Translation Initial Number YES NO **B4** WO 00/11311 03-02-2000 **WIPO E21B** 19/16 П Ø **B5** WO 00/11310 03-02-2000 **WIPO E21B** 19/16  $\boxtimes$ **B6** WO 00/11309 03-02-2000 **WIPO** E21B 19/16 冈 OTHER ART \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial "Canrig Top Drive Drilling Systems," Harts Petroleum Engineer International, February 1997, **C3** 2 Pages C4 "The Original Portable Top Drive Drilling System," TESCO Drilling Technology, 1997, 1page C5 Killalea, Mike, "Portable Top Drives: What's Driving The Market?" IADC, Drilling Contractor. September 1994, 4 Pages Examiner Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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Sheet 4 of 7 sheet(s) U.S. Department of Commerce, Patent and Trademark Office Docket No. Serial No. (PTO Form 1449 modified) MRKS/0028.C1 10/801,289 INFORMATION DISCLOSURE STATEMENT BY APPLICANT **Applicant** Confirmation No.: **Bernd-Georg Pietras** 3066 (Use several sheets if necessary) Filing Date Group Examiner 3679 March 16, 2004 **U.S. Patent Documents** \*Examiner **Document** Issue Applicant(s) Class Subclass Filing Date If Initial Number Date Name **Appropriate** mod A40 4,709,599 12-01-1987 **Buck** 81 57.18 A41 <del>1</del>√3 4,683,962 08-04-1987 True 163 A42 4,676,312 06-30-1987 Mosing et al. 166 77 A43 4,649,777 03-17-1987 Buck 81 57.19 A44 4,605,077 175 85 08-12-1986 Boyadjieff A45 4,604,724 08-05-1986 Shaginian et al. 364 **4**78 A46 4,593,773 06-10-1986 Skeie 175 85 A47 4.570.706 02-18-1986 Pugnet 166 77.5 07-16-1985 A48 4,529,045 Boyadjieff et al. 173 164 A49 4,494,424 01-22-1985 **Bates** 81 57\18 A50 4,437,363 03-20-1984 Haynes 81 57.18 A51 4,320,915 03-23-1982 Abbott et al. 29A 96 Mind A52 4,100,968 07-18-1978 Delano 166 315 **Foreign Patent Documents** \*Examiner Document Date Country Class Subclass **Translation** Initial Number YES NO **B10** WO 96/18799 06-20-1996 **WIPO E248** 19/05  $\gamma\gamma\gamma\gamma$ Ø 0 589 823 A1 B11 03-03-1994 EP **E21B** 79406  $\boxtimes$ 04-15-1993 B12 WO 93/07358 **WIPO** E2/B Ø 37/00 OTHER ART \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial "Product Information (Sections 1-10), " CANRIG Drilling Technology, Ltd. September 18, C8 1996 C9 C10. Examiner **Date Considered** \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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U.S. Department of Commerce, Patent and Trademark Office Docket No. Serial No. (PTO Form 1449 modified) MRKS/0028.C1 10/801,289 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant Confirmation No.: **Bernd-Georg Pietras** 3066 (Use several sheets if necessary) Filing Date Group Examiner March 16, 2004 3679 **U.S. Patent Documents** \*Examiner **Document** Filing Date If Issue Applicant(s) Class Subclass Number Initial Date Name **Appropriate** m 5,388,651 04/20/1993 A66 02/14/1995 Berry 175 85 175 **A67** 5,251,709 10/12/1993 Richardson 220 03/13/1992 173 163 A68 4,878,546 11/07/1989 Shaw, et al 02/12/1988 A69 4,813,493 03/21/1989 Shaw, et al 173 164 04/14/1987 57 A70 4,793,422 12/27/1998 Krasnov 175 03/16/1988 236 A71 4,754,807 07/05/1988 Lange 166 03/09/1987 A72 4,625,796 12/02/1986 166 አ7.5 Boyadjieff 04/01/1985 175 85 A73 4,449,596 05/22/1984 Boyadjieff 08/03/1982 175 85 A74 3.913.687 10/21/1975 Gyongyosi, et 03/04/1974 175 A75 3.857.450 12/31/1974 Guier 85 08/02/1973 Mod 73 A76 20 3,766,991 10/23/1973 Brown 04/20/1971 **Foreign Patent Documents** \*Examiner Document Date Class **Subclass** Country **Translation** Initial Number YES NO **€21B B16** 0 285 386 A2 10-05-1988 EP 19/16 冈 E2\B **B17** EP 01 62000A1 11-21-1985 EΡ 19/16 Ø E21B **B18** 98/32948 07/30/1998 WO 19/18 П M. 98/22690 *1*7/08 B19 05/28/1998 WO **E21B** 冈 43/08 97/17524 WO **E21B** 冈 **B20** 05/15/1997 П 0 659 975 E218 43/08 **B21** 06/28/1995 EP 01 171 144 A1 EΡ €21B **B22** 02/21/1986 19/06 OTHER ART \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial C14 Examiner Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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